Legal Data:

Stadtsparkasse Düsseldorf
Berliner Allee 33
40212 Düsseldorf
Germany

Commercial Registration Number: HRA 14082

Banking Licence Number: III 2 (100 820) 100
More information: https://www.bafin.de/EN/Aufsicht/aufsicht_node_en.html

Tax Identification Number: DE119260423

LEI: 529900QIQHMC6HSFBW06

BIC: DUSS DE DD

Website: www.sskduesseldorf.de
English website for foreign banks: www.sskduesseldorf.de/bankingrelations

Management Board:

Karin-Brigitte Göbel (CEO)
Uwe Baust (Sales Corporates & Institutionals, Treasury)
Dr. Stefan Dahm (CFO, Chief Risk Manager)
Dr. Michael Meyer (Sales Retail Banking, Private Banking)

Shareholder/Ownership Structure:

The Stadtsparkasse Düsseldorf is an institution incorporated under public law, i.e. legally and economically independent. No shares are issued. No ultimate beneficial owner (UBO). We are under the municipal trusteeship of the City of Düsseldorf.

Regulator:

Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)
Grauheckendorfer Str. 108
53117 Bonn
Germany

Registration Number ID 100820

Auditor:

Rheinischer Sparkassen- und Giroverband
Kirchfeldstraße 60
40217 Düsseldorf
Germany

updated November
2019
FATCA / CRS information:

FATCA status: in scope

FATCA classification: Reporting Model 1 FFI

Global Intermediary Identification Number (GIIN): WGRQ5I.00000.LE.276

Tax ID for CRS purposes: 5133/5871/1402

Credit Ratings:

Moody's: LT Corporate Family Rating Aa2

Fitch: Long-Term IDR A+ / Short-Term IDR F1+

DBRS: Issuer & Senior Long-Term Debt A (high) / Short-Term Instruments R-1 (low)


Key Figures (as of 31/12):

<table>
<thead>
<tr>
<th>Financial Figures</th>
<th>2018 (€ m)</th>
<th>2017 (€ m)</th>
<th>changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Balance Sheet Total</td>
<td>11,655</td>
<td>11,364</td>
<td>+2.6</td>
</tr>
<tr>
<td>Capital</td>
<td>732</td>
<td>726</td>
<td>+0.8</td>
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<tr>
<td>Profit</td>
<td>15</td>
<td>23</td>
<td>-31.3</td>
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<tr>
<td>Total Customer Loans</td>
<td>8,685</td>
<td>8,109</td>
<td>+7.1</td>
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<tr>
<td>Total Customer Deposits</td>
<td>9,176</td>
<td>8,936</td>
<td>+2.7</td>
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<tr>
<td>Total Capital (CRR)</td>
<td>20.7%</td>
<td>21.4%</td>
<td>-0.7%</td>
</tr>
<tr>
<td>Tier 1 Capital</td>
<td>18.6%</td>
<td>19.1%</td>
<td>-0.5%</td>
</tr>
<tr>
<td>Liquidity Coverage Ratio</td>
<td>153%</td>
<td>168%</td>
<td>-15%</td>
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<tr>
<td>(LCR)</td>
<td></td>
<td></td>
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<tr>
<td>Leverage Ratio</td>
<td>9,55%</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Other figures</th>
<th>Number</th>
<th>number</th>
<th>Changes</th>
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<tbody>
<tr>
<td>Employees</td>
<td>1,824</td>
<td>1,934</td>
<td>-110</td>
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<tr>
<td>Branches</td>
<td>46</td>
<td>46</td>
<td>0</td>
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<tr>
<td>ATMs</td>
<td>168</td>
<td>168</td>
<td>0</td>
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